

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

Transmitted herewith for filing is the patent application of:

Inventor: Robert B. Rieveley

For: METHOD AND COMPOSITION FOR THE TREATMENT OF DIABETES

Enclosed are:

- [X] 14 pages of specification, 5 pages of claims, an abstract and an unsigned Combined Declaration and Power of Attorney.
- [X] Associate Power of Attorney
- [X] Statement of Status as Small Entity - Individual Inventor
- [X] Preliminary Amendment.

CLAIMS AS FILED					
For	Number Filed		Number Extra	Rate	Basic Fee \$385.00
Total claims	29	-20	9	x \$11.00 =	99.00
Independent Claims	7	-3	4	x 40.00 =	160.00
TOTAL FILING FEE					644.00

DATE OF DEPOSIT: February 24, 1997

- [X] The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this application and recording any assignment filed herewith, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.
- [X] A check in the amount of \$644.00 to cover [X] filing fee is enclosed.

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 Docketing Secretary

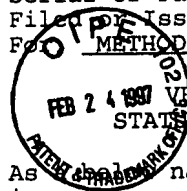
UNISCIEN46836PA.TRS

Respectfully submitted,

KLARQUIST SPARKMAN CAMPBELL  
 LEIGH & WHINSTON, LLP

By William D Noonan  
 William D. Noonan, M.D.  
 Registration No. 30,878

Applicant or Patentee: Robert B. Rieveley  
Serial or Patent No.: \_\_\_\_\_ Attorney's Docket No. 005  
Filed or Issued: \_\_\_\_\_  
For METHOD AND COMPOSITION FOR THE TREATMENT OF DIABETES



VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 C.F.R. 1.9(f) and 1.27(b)) -- INDEPENDENT INVENTOR

As Robert B. Rieveley named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 C.F.R. 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled METHOD AND COMPOSITION FOR THE TREATMENT OF DIABETES described in:

☒ the specification filed herewith  
☐ application Serial No. \_\_\_\_\_ filed \_\_\_\_\_  
☐ Patent No. \_\_\_\_\_ issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed, and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 C.F.R. 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a non-profit organization under 37 C.F.R. 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey or license, any rights in the invention is listed below:

☒ no such person, concern or organization  
☐ persons, concerns or organizations listed below

\* NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. 1.27)

FULL NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ Individual ☐ Small Business Concern ☐ Non Profit Organization

FULL NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ Individual ☐ Small Business Concern ☐ Non Profit Organization

FULL NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ Individual ☐ Small Business Concern ☐ Non Profit Organization

I acknowledge my duty to file, in this application, or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such wilful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Robert B. Rieveley

Name of Inventor

Name of Inventor

Name of Inventor

[Signature]  
Inventor's Signature

[Signature]  
Inventor's Signature

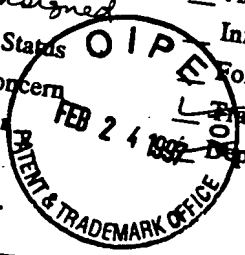
[Signature]  
Inventor's Signature

Feb 20, 1997  
Date

Date

Date

CLIENT/MATTER NO 22-46836 ATTY/SEC WON/skj 88/804903  
INVENTOR(S) Robert B. Rioulet  
TITLE Method & Comp. for Treatment of Diabetes  
CLIENT NAME Oxya W. Reed et al.  
The following mailed on 2/24/97 by Exp. Mail Label No. EM42487194925  
was received in the U.S. PTO on the date stamped hereon:  
☒ 14 pp. Spec., 5 pp. Claims, and Abstract  
☒ Comb. Decl./POA or un-signed Oath ☐ Associate POA  
☒ Verified Stmt. Claiming Sm. Entity Status ☐ Info Discl. Stmt.  
☒ Ind. Inventor ☐ Sm. Bus. Concern ☐ Form PTO-1449/Refs.  
☐ Nonprofit Org. ☐ Non-Inventor ☐ Trans. Ltr-dupl./tripl.  
☐ Informal Dwgs. ☐ Sheet(s) ☐ Dep. Acct. 02-4550 Auth.  
☐ Cert. Copy of ☐ App.  
Assignment to: ☐  
☒ Check # 59934 for \$244. to cover ☐ filing fee and ☐ assignment recordal.  
Prelim Amd.



PREVIOUSLY DOCKETED